

### Managed File Transfer (MFT) Request Form

#### Instructions

##### Vendor Instructions:

- Complete the Client Information and Set Up Sections of the NYSE Managed File Transfer (MFT) Request form, and return the completed form to [UCertCompliance@nyse.com](mailto:UCertCompliance@nyse.com) for processing.
- Once credentials are provided, validate access to the Managed File Transfer (MFT) portal.

For future technical support, post setup, please contact [SN-Support-EquitiesDataServices@TheIce.com](mailto:SN-Support-EquitiesDataServices@TheIce.com)

#### Vendor Information (Mandatory)

Vendor Name: \_\_\_\_\_ Acct #: \_\_\_\_\_ mdc\_ten digit Acct ID \_\_\_\_\_

Vendor Contact: \_\_\_\_\_

Vendor Contact **Group** Email<sup>1</sup>: \_\_\_\_\_ Phone: \_\_\_\_\_

Technical Contact: \_\_\_\_\_

Technical Contact Email: \_\_\_\_\_ Phone: \_\_\_\_\_

After Hours Technical Contact: \_\_\_\_\_

After Hours Technical Email: \_\_\_\_\_ Phone: \_\_\_\_\_

#### Vendor Setup (Mandatory)

##### Source Server IP Address

Please supply your Source Server IP Address, to be whitelisted with NYSE Information Security.

Source Server IP Address: \_\_\_\_\_

Source Server IP Address: \_\_\_\_\_

SSH Public Key (optional): \_\_\_\_\_

<sup>1</sup> Group email is required

# CTA

## Consolidated Tape Association

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### FINRA Representation & Warranty:

By checking "Accept", you represent and warrant that your use of UCert is solely to determine whether an individual should be charged Professional or Nonprofessional rates for the receipt and use of CTA/CQ Plan market data. You acknowledge that FINRA is an intended third party beneficiary of this representation and warranty.

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I Accept:

### Vendor

Signature of Vendor Representative: \_\_\_\_\_

Vendor Representative Name (Please Print): \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

### NYSE Technical Information

MFT Production:

mftus.nyx.com 159.125.78.129

MFT DR:

mftusdr.nyx.com 162.68.208.14

NYSE will supply the Customer with login credentials to the Managed File Transfer (MFT) server.