



Consolidated Tape Association

Disaster Recovery Policy and Procedure

The Disaster Recovery Policy applies to vendors of disaster recovery services and other organizations that establish such facilities, and that receive market data in a “Hot” standby mode. Under these circumstances, fees are not charged when the facility is operating solely as a backup and not used for any other purpose. However, it is necessary for the vendor or other organization to enter into the Agreement for Receipt and Use of Market Data and prepare the Exhibit A attachment to the Agreement describing the operations and controls in place. Fees for use of the data beyond disaster recovery may apply.

If it is necessary to activate a disaster recovery facility, the vendor/organization must notify NYSE. The vendor/organization will be billed the display unit fees at the applicable rate for the quantity of display devices technically enabled to display market data during the period of use. The usage must be reported to NYSE. The fees are not pro-rated. Any use during a month is subject to applicable fees for the entire month. Offsetting credits for services at the affected primary site will be extended as circumstances warrant and as appropriate.

This fact sheet is a summary document intended to set forth the highlights of CTA/CQ policy, rates, and procedures. Questions and/or circumstances not covered in this document should be referred to NYSE for the determination of applicable fees and procedures.
