

Advisory Committee Disclosure Form

Name: Stephen Miele

Firm: Level ATS

- Provide the Advisor's title and a brief description of the Advisor's role within the firm.

Chief Strategy Officer responsible for Sales, Product Development and Strategy

- Does the Advisor have responsibilities related to the firm's use or procurement of market data?

From a general strategic perspective

- Does the Advisor have responsibilities related to the firm's trading or brokerage services?

Not Applicable

- Does the Advisor's firm use the SIP?

Yes

- Does the Advisor's firm use exchange Proprietary Market Data products?

No

- Does the Advisor's firm have an ownership interest of 5% or more in one or more Participants?

No

- If yes, list the Participant(s).

- Does the Advisor actively participate in any litigation against the Plans?

No

- Does the Advisor or the Advisor's firm have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Plan?

No

- If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Plan.